

## Regulatory Story

[Go to market news section](#)



**Company** Walker Crips Stockbrokers Ltd  
**TIDM**  
**Headline** [Form 8.3 - Empyrean Energy PLC](#)  
**Released** 13:44 22-Sep-2014  
**Number** 2696S13

RNS Number : 2696S  
 Walker Crips Stockbrokers Ltd  
 22 September 2014

### FORM 8.3

#### PUBLIC OPENING POSITION DISCLOSURE/DEALING DISCLOSURE BY A PERSON WITH INTERESTS IN RELEVANT SECURITIES REPRESENTING 1% OR MORE Rule 8.3 of the Takeover Code (the "Code")

##### 1. KEY INFORMATION

(a) Identity of the person whose positions/dealings are being disclosed:	Mr J Ballard
(b) Owner or controller of interests and short positions disclosed, if different from 1(a): <i>The naming of nominee or vehicle companies is insufficient</i>	
(c) Name of offeror/offeree in relation to whose relevant securities this form relates: <i>Use a separate form for each offeror/offeree</i>	Empyrean Energy PLC
(d) If an exempt fund manager connected with an offeror/offeree, state this and specify identity of offeror/offeree:	
(e) Date position held/dealing undertaken:	16 <sup>th</sup> September 2014
(f) Has the discloser previously disclosed, or are they today disclosing, under the Code in respect of any other party to this offer?	NO

##### 2. POSITIONS OF THE PERSON MAKING THE DISCLOSURE

- (a) Interests and short positions in the relevant securities of the offeror or offeree to which the disclosure relates following the dealing (if any)

Class of relevant security:	0.2p Ordinary shares			
	Interests		Short positions	
	Number	%	Number	%
(1) Relevant securities owned and/or controlled:	2,625,000	1.18		
(2) Derivatives (other than options):				
(3) Options and agreements to purchase/sell:				
<b>TOTAL:</b>	<b>2,625,000</b>	<b>1.18</b>		

*All interests and all short positions should be disclosed.*

*Details of any open derivative or option positions, or agreements to purchase or sell relevant securities, should be given on a Supplemental Form 8 (Open Positions).*

- (b) Rights to subscribe for new securities (including directors' and other executive options)

Class of relevant security in relation to which subscription right exists:	
--	--

Details, including nature of the rights concerned and relevant percentages:	
---	--

If there are positions or rights to subscribe to disclose in more than one class of relevant securities of the offeror or offeree named in 1(c), copy table 2(a) or (b) (as appropriate) for each additional class of relevant security.

### 3. DEALINGS (IF ANY) BY THE PERSON MAKING THE DISCLOSURE

#### (a) Purchases and sales

Class of relevant security	Purchase/sale	Number of securities	Price per unit
0.2p Ordinary	Purchase	325,000	18.38 pence

#### (b) Derivatives transactions (other than options)

Class of relevant security	Product description e.g. CFD	Nature of dealing e.g. opening/closing a long/short position, increasing/reducing a long/short position	Number of reference securities	Price per unit

#### (c) Options transactions in respect of existing securities

##### (i) Writing, selling, purchasing or varying

Class of relevant security	Product description e.g. call option	Writing, purchasing, selling, varying etc.	Number of securities to which option relates	Exercise price per unit	Type e.g. American, European etc.	Expiry date	Option money paid/received per unit

##### (ii) Exercising

Class of relevant security	Product description e.g. call option	Number of securities	Exercise price per unit

#### (d) Other dealings (including subscribing for new securities)

Class of relevant security	Nature of dealing e.g. subscription, conversion	Details	Price per unit (if applicable)

The currency of all prices and other monetary amounts should be stated.

Where there have been dealings in more than one class of relevant securities of the offeror or offeree named in 1(c), copy table 3(a), (b), (c) or (d) (as appropriate) for each additional class of relevant security dealt in.

### 4. OTHER INFORMATION

#### (a) Indemnity and other dealing arrangements

Details of any indemnity or option arrangement, or any agreement or understanding, formal or informal, relating to relevant securities which may be an inducement to deal or refrain from dealing entered into by the person making the disclosure and any party to the offer or any person acting in concert with a party to the offer: If there are no such agreements, arrangements or understandings, state "none"
None

#### (b) Agreements, arrangements or understandings relating to options or derivatives

Details of any agreement, arrangement or understanding, formal or informal, between the person making the disclosure and any other person relating to: (i) the voting rights of any relevant securities under any option; or (ii) the voting rights or future acquisition or disposal of any relevant securities to which any derivative is referenced: If there are no such agreements, arrangements or understandings, state "none"
None

--

## (c) Attachments

Is a Supplemental Form 8 (Open Positions) attached?	NO
---	----

Date of disclosure:	22 <sup>nd</sup> September 2014
Contact name:	Michael Callicott
Telephone number:	020 3100 8685

Public disclosures under Rule 8 of the Code must be made to a Regulatory Information Service and must also be emailed to the Takeover Panel at [monitoring@disclosure.org.uk](mailto:monitoring@disclosure.org.uk). The Panel's Market Surveillance Unit is available for consultation in relation to the Code's dealing disclosure requirements on +44 (0)20 7638 0129.





The Code can be viewed on the Panel's website at [www.thetakeoverpanel.org.uk](http://www.thetakeoverpanel.org.uk).

This information is provided by RNS  
The company news service from the London Stock Exchange

END

RETLTMTTMBITBTI

CLOSE

FINANCIAL PUBLICATIONS PROVIDED BY DIANOMI					
	<b>Home loan refinancing?</b> Get tips for home loan refinancing with Aussie's free 10 page guide		<b>HSBC Premier Australia</b> Worldwide ATM Network & Fee Free International Money Transfer		<b>How to earn extra income</b> Learn winning trading methods and techniques
<a href="#">Download guide now</a>		<a href="#">Find out more</a>		<a href="#">Download free eBook</a>	

London Stock Exchange plc is not responsible for and does not check content on this Website. Website users are responsible for checking content. Any news item (including any prospectus) which is addressed solely to the persons and countries specified therein should not be relied upon other than by such persons and/or outside the specified countries. [Terms and conditions](#), including restrictions on use and distribution apply.

©2014 London Stock Exchange plc. All rights reserved

Form 8.3 - Empyrean Energy PLC - RNS