

## Learning Centre

Our educational programme aims to provide investors with an understanding of how the products and services offered by LSEG can be used in practice

[find out more](#)

## Regulatory Story

[Go to market news section](#)



**Cenkos Securities PLC** - CNKS [Form 8.5 \(EPT/NON-RI\)](#)  
Released 10:52 15-Oct-2014

RNS Number : 3697U  
Cenkos Securities PLC  
15 October 2014

### FORM 8.5 (EPT/NON-RI)

#### PUBLIC OPENING POSITION DISCLOSURE/DEALING DISCLOSURE BY AN EXEMPT PRINCIPAL TRADER WITHOUT RECOGNISED INTERMEDIARY ("RI") STATUS (OR WHERE RI STATUS IS NOT APPLICABLE) Rule 8.5 of the Takeover Code (the "Code")

##### 1. KEY INFORMATION

(a) Name of exempt principal trader:	Cenkos Securities Plc
(b) Name of offeror/offeree in relation to whose relevant securities this form relates: <i>Use a separate form for each offeror/offeree</i>	Empyrean Energy Plc
(c) Name of the party to the offer with which exempt principal trader is connected:	Empyrean Energy Plc
(d) Date position held/dealing undertaken:	14/10/2014
(e) Has the EPT previously disclosed, or is it today disclosing, under the Code in respect of any other party to this offer?	NO

##### 2. POSITIONS OF THE EXEMPT PRINCIPAL TRADER

- (a) Interests and short positions in the relevant securities of the offeror or offeree to which the disclosure relates following the dealing (if any)

Class of relevant security:	0.2p Ordinary Shares			
	Interests		Short positions	
	Number	%	Number	%
(1) Relevant securities owned and/or controlled:			9,996	0.0045%
(2) Derivatives (other than options):				
(3) Options and agreements to purchase/sell:				
<b>TOTAL:</b>			9,996	0.0045%

*All interests and all short positions should be disclosed.*

*Details of any open derivative or option positions, or agreements to purchase or sell relevant securities, should be given on a Supplemental Form 8 (Open Positions).*

- (b) Rights to subscribe for new securities (including directors' and other executive options)

Class of relevant security in relation to which subscription right exists:	
--	--

<b>Details, including nature of the rights concerned and relevant percentages:</b>	
--	--

If there are positions or rights to subscribe to disclose in more than one class of relevant securities of the offeror or offeree named in 1(b), copy table 2(a) or (b) (as appropriate) for each additional class of relevant security.

### 3. DEALINGS (IF ANY) BY THE EXEMPT PRINCIPAL TRADER

#### (a) Purchases and sales

Class of relevant security	Purchase/sale	Number of securities	Price per unit
0.2p Ordinary Shares	Sale	25,000	15.7p
0.2p Ordinary Shares	Sale	5,000	15.7p

Total Purchase	Nil
Total Sale	30,000

#### (b) Derivatives transactions (other than options)

Class of relevant security	Product description e.g. CFD	Nature of dealing e.g. opening/closing a long/short position, increasing/reducing a long/short position	Number of reference securities	Price per unit

#### (c) Options transactions in respect of existing securities

##### (i) Writing, selling, purchasing or varying

Class of relevant security	Product description e.g. call option	Writing, purchasing, selling, varying etc.	Number of securities to which option relates	Exercise price per unit	Type e.g. American, European etc.	Expiry date	Option money paid/received per unit

##### (ii) Exercising

Class of relevant security	Product description e.g. call option	Number of securities	Exercise price per unit

#### (d) Other dealings (including subscribing for new securities)

Class of relevant security	Nature of dealing e.g. subscription, conversion	Details	Price per unit (if applicable)

The currency of all prices and other monetary amounts should be stated.

Where there have been dealings in more than one class of relevant securities of the offeror or offeree named in 1(b), copy table 3(a), (b), (c) or (d) (as appropriate) for each additional class of relevant security dealt in.

### 4. OTHER INFORMATION

#### (a) Indemnity and other dealing arrangements

<p>Details of any indemnity or option arrangement, or any agreement or understanding, formal or informal, relating to relevant securities which may be an inducement to deal or refrain from dealing entered into by the exempt principal trader making the disclosure and any party to the offer or any person acting in concert with a party to the offer:</p> <p>If there are no such agreements, arrangements or understandings, state "none"</p>

#### (b) Agreements, arrangements or understandings relating to options or derivatives

--

Details of any agreement, arrangement or understanding, formal or informal, between the exempt principal trader making the disclosure and any other person relating to:  
 (i) the voting rights of any relevant securities under any option; or  
 (ii) the voting rights or future acquisition or disposal of any relevant securities to which any derivative is referenced:  
 If there are no such agreements, arrangements or understandings, state "none"

## (c) Attachments

Is a Supplemental Form 8 (Open Positions) attached?	NO
---	----

Date of disclosure:	15/10/2014
Contact name:	Parin Hirani
Telephone number:	020 7397 8985

Public disclosures under Rule 8 of the Code must be made to a Regulatory Information Service and must also be emailed to the Takeover Panel at [monitoring@disclosure.org.uk](mailto:monitoring@disclosure.org.uk). The Panel's Market Surveillance Unit is available for consultation in relation to the Code's dealing disclosure requirements on +44 (0)20 7638 0129.

The Code can be viewed on the Panel's website at [www.thetakeoverpanel.org.uk](http://www.thetakeoverpanel.org.uk).

This information is provided by RNS  
 The company news service from the London Stock Exchange

END

FEOGGGBCUUPCUBA

CLOSE

## FINANCIAL PUBLICATIONS PROVIDED BY DIANOMI



**Warren Buffett's Secret**  
 World's Greatest Investor Tells You  
 How to Turn \$40 Into \$10 Million

How does he do it?



**Become An Online Trader**  
 Improve your trading skills with the  
 best trading eBook available

Download the eBook



**Home loan refinancing?**  
 Get tips for home loan refinancing  
 with Aussie's free 10 page guide

Download guide now

London Stock Exchange plc is not responsible for and does not check content on this Website. Website users are responsible for checking content. Any news item (including any prospectus) which is addressed solely to the persons and countries specified therein should not be relied upon other than by such persons and/or outside the specified countries. [Terms and conditions](#), including restrictions on use and distribution apply.

©2014 London Stock Exchange plc. All rights reserved

Form 8.5 (EPT/NON-RI) - RNS